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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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1764 #4  
131  
12-9-02

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

10/037,980

Filing Date

20 December 2001

First Named Inventor

PAISLEY, Mark A.

Group Art Unit

1764

Examiner Name

Not Assigned

Attorney Docket Number

FERCO5

### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement,  
including form PTO/SB/08A and  
cited references

☐ Certified Copy of Priority  
Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR 1.52 or  
1.53

☐ Assignment Papers

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Associate Power of Attorney

☐ Change of Correspondence Address

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please  
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### Remarks:

The number of pages in this submission does not include cited  
references.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Ryan A. Schneider  
TROUTMAN SANDERS LLP

Signature

Date

25 November 2002

### CERTIFICATE OF MAILING

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Pamela J. Guthardt

Signature

Date

25 November 2002



Patent  
Customer No.: 006980  
Docket No.: FERCO5

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of	)	
	)	
PAISLEY, Mark A.	)	Group Art Unit: 1764
	)	
Serial No.: 10/037,980	)	Examiner: Not Assigned
	)	
Filed: 20 December 2001	)	
	)	
For: <b>BIOMASS GASIFICATION SYSTEM</b>	)	
<b>AND METHOD</b>	)	

**INFORMATION DISCLOSURE STATEMENT (IDS)**  
**AND COPIES OF IDS CITATIONS UNDER 37 C.F.R. 1.97(b)(3)**

Honorable Commissioner for Patents  
Washington, D.C. 20231

Atlanta, GA 30308-2216  
25 November 2002

Sir:

In accordance with the continuing duty under 37 C.F.R. §1.56 to disclose to the United States Patent and Trademark Office any information that may be material to patentability of the above-identified invention, enclosed please find form PTO/SB/08A listing references presently known to Applicant relating to the above-identified application. Copies of the references are also included. The submission of this information shall not be deemed an admission that this information is necessarily material to the patentability of the above-identified invention.

This information disclosure statement is being submitted prior to the mailing date of a first *Office Action* on the merits. Therefore, Applicant believes no fee is due under 37 C.F.R. §1.17.

The Commissioner is hereby authorized to charge any deficiency in fees to PTO Deposit Account No. 20-1507.

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Pamela J. Guthardt

Name of Applicant Assignee, or  
Registered Representative

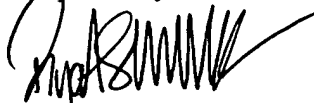
Pamela J. Guthardt

Signature

25 November 2002

Date

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Substitute for form 1449A/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/037,980
				Filing Date	20 December 2001
				First Named Inventor	PAISLEY, Mark A.
				Group Art Unit	1764
(use as many sheets as necessary)				Examiner Name	Not Assigned
Sheet	1	of	1	Attorney Docket Number	FERCOS

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,828,581		Feldmann et al.	05-09-1989	
		4,253,408		Kramer	03-03-1981	
		4,771,712		Engstrom et al.	09-20-1988	
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		4,641,864		Heine et al.	02-10-1987	
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		5,141,708		Campbell, Jr. et al.	08-25-1992	
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		5,463,968		Abdulally	11-07-1995	
		6,065,409		Morin	05-23-2000	
		4,616,574		Abrams et al.	10-14-1986	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
				</				

Examiner Signature	Date Considered
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<sup>1</sup>Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the twoletter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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